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Creating, Searching, and Deleting KD Trees Using C++

by Robert J Yager

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1. Introduction

K-dimensional (KD) trees use binary space-partitioning algorithms to organize and store data points in K-dimensional space.¹ They are particularly useful for efficient nearest-neighbor search algorithms. This report presents a set of functions, written in C++, that is designed to be used to create, search, and delete KD trees. All of the functions are based on recursive algorithms. Tests for measuring function performance are included, as are examples for creating Voronoi diagrams.

The functions that are described in this report have been grouped into the yKdTree namespace, which is summarized at the end of this report. The yKdTree namespace relies exclusively on standard C++ operations. However, example code that is included in this report makes use of the yRandom namespace² for generating pseudorandom numbers and the yBmp namespace³ for creating Voronoi diagrams.

2. Sorting Tables — the ColumnSort() Function

The ColumnSort() function uses a stable merge-sort algorithm to sort tabulated data into ascending order. The data must be stored in a 2-index array, where the indices are arbitrarily referred to here as rows (first index) and columns (second index).

The ColumnSort() function is included in the yKdTree namespace as a helper function for the NewTree() function, which is described in section 4. However, the ColumnSort() function can also be useful on its own when an efficient means of sorting tables by columns is desired.

2.1 ColumnSort() Code

```
template<class T>void ColumnSort(//<=SORT A TABLE BY COLUMNS (ASCENDING ORDER)
    T**a,T**b,//<-----POINTERS TO STARTING & ENDING ROWS (FIRST INDEX)
    T**t,//<-----TEMPORARY STORAGE (SIZE >= b-a)
    unsigned c){//<-----THE COLUMN (2ND INDEX) TO SORT ON
    if(b-a<2)return;
    T**m=a+(b-a)/2; /*->*/ColumnSort(a,m,t,c),ColumnSort(m,b,t,c);
    for(T**u=m,**l=a,**j=t;j<b-a+t;)*j++=u<b&&(l>m||(*l)[c]>(*u)[c])?*u++:*l++;
    while(a<b)*a++=*t++;
} //~~~~YAGENAUT@GMAIL.COM~~~~~LAST~UPDATED~15JUL2014~~~~~
```

2.2 ColumnSort() Parameters

- a** **a** points to the first row that will be included in the sort.
- b** **b** points to one past the last row that will be included in the sort.
- t** **t** points to temporary storage for the ColumnSort() function. **t** must point to a array that is capable of storing at least **b-a** elements.
- c** **c** specifies the column on which the sort will be based.

2.3 ColumnSort() Example

The following example uses the ColumnSort() function to sort a 2-column table, first by the second column, then by the first.

```
#include <stdio> //.....printf()
#include "y_kd_tree.h" //.....yKDTree
int main(){//<=====A SIMPLE EXAMPLE FOR THE ColumnSort() FUNCTION
    int *T[9],A[9][2]={0,5,0,7,1,9,0,8,1,6,0,7,1,4,0,0,0,3},*B[9];
    for(int i=0;i<9;++i)B[i]=A[i];
    yKDTree::ColumnSort(B,B+9,T,1),yKDTree::ColumnSort(B,B+9,T,0);
    printf(" UNSORTED |  SORTED\n -----|-----\n");
    for(int i=0;i<9;++i)
        printf("    %d , %d |  %d , %d\n",*A[i],A[i][1],*B[i],B[i][1]);
    }//~~~~~YAGENAUT@GMAIL.COM~~~~~LAST~UPDATED~15JUL2014~~~~~
```

OUTPUT:

| UNSORTED | SORTED |
|----------|--------|
| ----- | ----- |
| 0 , 5 | 0 , 0 |
| 0 , 7 | 0 , 3 |
| 1 , 9 | 0 , 5 |
| 0 , 8 | 0 , 7 |
| 1 , 6 | 0 , 7 |
| 0 , 7 | 0 , 8 |
| 1 , 4 | 1 , 4 |
| 0 , 0 | 1 , 6 |
| 0 , 3 | 1 , 9 |

2.4 ColumnSort() Performance

The following code measures the performance of the ColumnSort() function and compares it with the performance of the stable_sort() function. The yRandom namespace is used to generate pseudorandom numbers for the test. Time measurements represent the total time required to perform 10^6 sorts for tables with 2^n rows, where n varies from 1 to 14.

The output was generated by compiling the code using Microsoft's Visual Studio C++ 2010 Express compiler, with the output set to "release" mode. For this scenario, the ColumnSort() function outperforms the built-in stable_sort() function (Fig. 1).

```
#include <algorithm>//.....stable_sort()
#include <cstdio>//.....printf()
#include <ctime>//.....clock(),CLOCKS_PER_SEC
#include "y_kd_tree.h"//.....yKdTree
#include "y_random.h"//.....yRandom
inline bool Compare(//<=====HELPER FUNCTION FOR THE std::stable_sort() FUNCTION
    double*a,double*b){//<-----POINTERS TO COMPARISON ROWS
    return a[0]<b[0];//.....note column number is fixed at 0
}//~~~~~YAGENAUT@GMAIL.COM~~~~~LAST~UPDATED~15JUL2014~~~~~
int main(){//<=====MEASURE THE PERFORMANCE OF THE ColumnSort() FUNCTION
    const int N=1<<14,M=1000000;//....max # of rows, number of iterations per test
    unsigned I[625];/*<*/yRandom::Initialize(I,1);//....state of Mersenne twister
    double s,t,*T[N],*A[N];/*<*/for(int i=0;i<N;++i)A[i]=new double[1];
    printf("          | std::stable_sort() |      ColumnSort()\n"
        " row      |-----|-----\n"
        " count    | time   | Z[m/2][0] | time   | Z[m/2][0]\n"
        "          | (s)    | avg.      | (s)    | avg.\n"
        " -----|-----|-----|-----|-----\n");//table header
    for(int m=2;m<=N;m*=2){
        s=0,t=clock(),yRandom::Initialize(I,1);//.....begin stable_sort() test
        for(int k=0;k<M;++k){
            for(int i=0;i<m;++i)A[i][0]=yRandom::RandU(I,0,1);
            std::stable_sort(A,A+m,Compare),s+=A[m/2][0];}
        printf("%7d   |%9.3f |%10.7f |",m,(clock()-t)/CLOCKS_PER_SEC,s/M);
        s=0,t=clock(),yRandom::Initialize(I,1);//.....begin ColumnSort() test
        for(int k=0;k<M;++k){
            for(int i=0;i<m;++i)A[i][0]=yRandom::RandU(I,0,1);
            yKdTree::ColumnSort(A,A+m,T,0),s+=A[m/2][0];}
        printf("%9.3f |%10.7f\n", (clock()-t)/CLOCKS_PER_SEC,s/M);}
    for(int i=0;i<N;++i)delete[]A[i];
}//~~~~~YAGENAUT@GMAIL.COM~~~~~LAST~UPDATED~15JUL2014~~~~~
```

OUTPUT:

| row count | std::stable_sort() | | ColumnSort() | |
|--------------|--------------------|-------------------|--------------|-------------------|
| | time (s) | Z[m/2][0] avg. | time (s) | Z[m/2][0] avg. |
| 2 | 0.046 | 0.6667224 | 0.032 | 0.6667224 |
| 4 | 0.078 | 0.6002211 | 0.093 | 0.6002211 |
| 8 | 0.219 | 0.5556498 | 0.249 | 0.5556498 |
| 16 | 0.546 | 0.5293284 | 0.577 | 0.5293284 |
| 32 | 1.316 | 0.5150998 | 1.263 | 0.5150998 |
| 64 | 3.401 | 0.5077139 | 2.886 | 0.5077139 |
| 128 | 7.675 | 0.5038248 | 6.646 | 0.5038248 |
| 256 | 17.456 | 0.5019407 | 14.682 | 0.5019407 |
| 512 | 39.059 | 0.5009805 | 31.961 | 0.5009805 |
| 1024 | 83.569 | 0.5004688 | 68.828 | 0.5004688 |
| 2048 | 186.202 | 0.5002375 | 150.859 | 0.5002375 |
| 4096 | 409.029 | 0.5001205 | 324.842 | 0.5001205 |
| 8192 | 890.498 | 0.5000599 | 697.758 | 0.5000599 |
| 16384 | 2061.582 | 0.5000335 | 1537.217 | 0.5000335 |

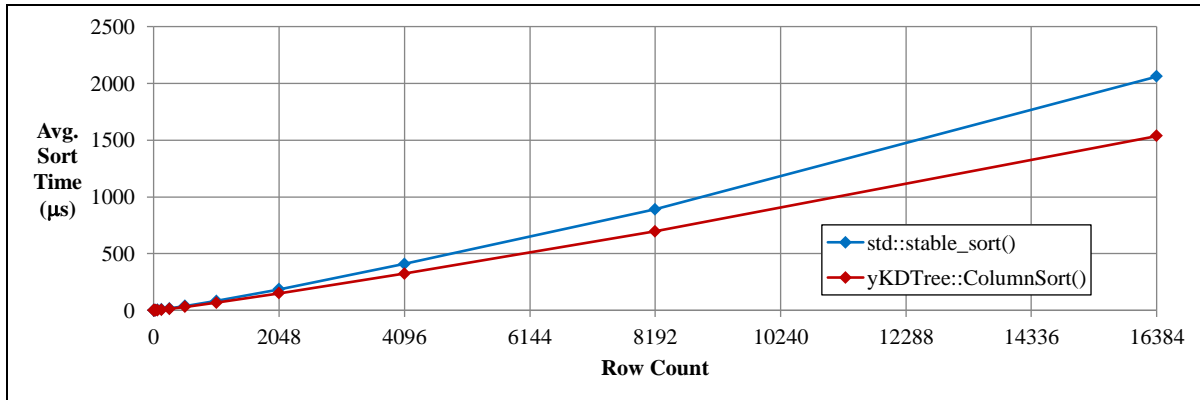


Fig. 1 ColumnSort() performance compared with stable_sort() performance

3. KD-Tree Nodes — the NODE Struct

NODE structs can be used to store the nodes that make up KD trees. Typically, NODE structs are created using the NewTree() function (see section 4) and deleted using the DeleteTree() function (see section 5).

3.1 NODE Code

```
template<class T>struct NODE{//<=====A KD-TREE NODE
    T*r;//<-----POINTER TO A ROW IN A TABLE
    NODE*a,*b;//<-----POINTERS TO SUBNODES
    int k;//<-----NODE LAYER
};//~~~YAGENAUT@GMAIL.COM~~~~~LAST~UPDATED~15JUL2014~~~~~
```

3.2 NODE Parameters

- r** **r** points to a row in a table.
 - a** **a** points to a subnode.
 - b** **b** points to a subnode.
 - k** **k** is used to identify a node's layer.
-

4. Creating KD Trees — the NewTree() Function

If a 2-index array is used to store sortable tabulated data in the format that is shown in Fig. 2, then the NewTree() function can be used to create a KD tree for the tabulated data. In Fig. 2, values for both independent and dependent variables are stored in array A . Independent variables are stored in columns with subscripted- X headers, while dependent variables are stored in columns with subscripted- Y headers. Each row represents a single data point.

| | X_0 | X_1 | \cdots | X_k | \cdots | X_{K-1} | Y_0 | Y_1 | \cdots |
|----------|-------------|-------------|----------|-------------|----------|---------------|-------------|---------------|----------|
| 0 | $A_{0,0}$ | $A_{0,1}$ | \cdots | $A_{0,k}$ | \cdots | $A_{0,K-1}$ | $A_{0,K}$ | $A_{0,K+1}$ | \cdots |
| 1 | $A_{1,0}$ | $A_{1,1}$ | \cdots | $A_{1,k}$ | \cdots | $A_{1,K-1}$ | $A_{1,K}$ | $A_{1,K+1}$ | \cdots |
| \vdots | \vdots | \vdots | \ddots | \vdots | \ddots | \vdots | \vdots | \vdots | |
| i | $A_{i,0}$ | $A_{i,1}$ | \cdots | $A_{i,k}$ | \cdots | $A_{i,K-1}$ | $A_{i,K}$ | $A_{i,K+1}$ | \cdots |
| \vdots | \vdots | \vdots | \ddots | \vdots | \ddots | \vdots | \vdots | \vdots | |
| $m-1$ | $A_{m-1,0}$ | $A_{m-1,1}$ | \cdots | $A_{m-1,k}$ | \cdots | $A_{m-1,K-1}$ | $A_{m-1,K}$ | $A_{m-1,K+1}$ | \cdots |

Fig. 2 Tabulated data stored in a 2-index array

Note that the NewTree() function uses the “new” command to allocate memory for nodes. To avoid memory leaks, the DeleteTree() function (see section 5) should be used to deallocate memory when a KD tree is no longer needed.

4.1 NewTree() Code

```
template<class T>NODE<T>*NewTree(//<=====CREATE A KD TREE
    T**a,T**b,//<-----POINTERS TO STARTING AND ENDING ROWS
    int K,//<-----NUMBER OF INDEPENDENT DIMENSIONS
    int k=0){//<-----NODE LAYER (SET BY RECURSIVE ALGORITHM)
    T**t=new T*[b-a];/*->*/ColumnSort(a,b,t,k);/*&*/delete[]t;
    T**m=a+(b-a-1)/2;
    NODE<T>*N=new NODE<T>;/*<-*/*N->r=*m,N->a=a-m?NewTree(a,m,K,(k+1)%K):0,
    N->b=b-m-1?NewTree(m+1,b,K,(k+1)%K):0,N->k=k;
    return N;
}//~~~~YAGENAUT@GMAIL.COM~~~~~LAST~UPDATED~15JUL2014~~~~~
```

4.2 NewTree() Parameters

- a** **a** points to the first row of the table that will be included in the new KD tree.
- b** **b** points to one past the last row of the table that will be included in the new KD tree.
- K** **K** specifies the number of independent dimensions that are included in the table that is specified by **a** and **b**.
- k** **k** specifies the layer of the current node that is being created by the NewTree() function. **k** is set automatically, either by the default value, or by the NewTree() function when it calls itself recursively.

4.3 NewTree() Return Value

The NewTree() function returns a pointer to the root node of a newly created KD tree.

4.4 NewTree() Example — Creating a Simple KD Tree

The following example code uses the NewTree() function to create a simple KD tree, with $K = 2$ independent dimensions, then prints the nodes. Nodes represented by (X,X) are empty.

```

#include <stdio> //.....printf()
#include "y_kd_tree.h" //.....yKdTree
inline void PrintNode(//<=====PRINTS THE COORDINATES OF A NODE
    yKdTree::NODE<int>*N){//<-----A NODE
    N?printf("(%d,%d) ",N->r[0],N->r[1]):printf("(X,X) ");
} //~~~~~YAGENAUT@GMAIL.COM~~~~~LAST~UPDATED~15JUL2014~~~~~
int main(){//<=====A SIMPLE EXAMPLE FOR THE NewKdTree() FUNCTION
    int*A[5],B[5][3]={4,1,0 , 4,3,1 , 6,2,2 , 2,4,3 , 8,4,4};
    printf("TABULATED DATA:\n");
    for(int i=0;i<5;++i)printf("%13d,%d,%d\n",B[i][0],B[i][1],B[i][2]);
    printf("\n\nKD TREE:\n");
    for(int i=0;i<5;++i)A[i]=B[i];
    yKdTree::NODE<int>*N=yKdTree::NewTree(A,A+5,2);
    printf("          "),PrintNode(N);//.....root node (k=0)
    printf("\n          /  \\\n");
    PrintNode(N->a),printf("          ");PrintNode(N->b);//....2nd-level nodes (k=1)
    printf("\n      /  \\\n");
    PrintNode(N->a->a),PrintNode(N->a->b),PrintNode(N->b->a),PrintNode(N->b->b);
    printf("\n\n"),yKdTree::DeleteTree(N);
} //~~~~~YAGENAUT@GMAIL.COM~~~~~LAST~UPDATED~15JUL2014~~~~~

```

OUTPUT:

```

TABULATED DATA:
      4,1,0
      4,3,1
      6,2,2
      2,4,3
      8,4,4

KD TREE:
          (4,3)
         /  \
      (4,1)  (6,2)
       /  \  /  \
    (X,X) (2,4) (X,X) (8,4)

```

5. Deleting KD Trees — the DeleteTree() Function

The DeleteTree() function can be used to delete a KD tree that has been created using the NewTree() function.

5.1 DeleteTree() Code

```

template<class T>void DeleteTree(//<=====DELETE A KD TREE
    NODE<T>*N){//<-----THE ROOT NODE OF A KD TREE
    if(!N)return; /*else*/DeleteTree(N->a),DeleteTree(N->b),delete N;
} //~~~~YAGENAUT@GMAIL.COM~~~~~LAST~UPDATED~15JUL2014~~~~~

```

5.2 DeleteTree() Parameters

N N points to the root node of a KD tree.

6. Searching KD Trees — the NNSearch() Function

The NNSearch() function can be used to search a KD tree for A_i , a row in a table that specifies a location that minimizes the distance to X , a user specified location where

$$X = \{X_0, X_1, \dots, X_k, \dots, X_{K-1}\}. \quad (1)$$

Thus, A_i is defined to be a row for which S in Eq. 2 is minimized.

$$S = \sum_{k=0}^{K-1} (X_k - A_{i,k})^2. \quad (2)$$

Note that A_i may or may not be unique.

6.1 NNSearch() Code

```

template<class T, class U>void NNSearch(//<=====NEAREST-NEIGHBOR SEARCH
    const NODE<T>*N, //<-----THE ROOT NODE OF A KD TREE
    const T*X, //<-----POINTER TO SEARCH COORDINATES
    T*&r, //<-----POINTER TO NEAREST-NEIGHBOR ROW (CALCULATED)
    U&S, //<-----SQUARED DISTANCE BETWEEN COORDINATES AT X AND r (CALCULATED)
    int K){//<-----NUMBER OF INDEPENDENT DIMENSIONS
    NODE<T>*a, *b; /*<--*/X[N->k]<N->r[N->k]?a=N->a,b=N->b:(a=N->b,b=N->a);
    if(a)NNSearch(a,X,r,S,K);
    U s=0; /*<--*/for(int k=0;k<K;++k)s+=(X[k]-N->r[k])*(X[k]-N->r[k]);
    if(s<S)r=N->r,S=s;
    if((s=X[N->k]-N->r[N->k])*s<S&&b)NNSearch(b,X,r,S,K);
} //~~~~YAGENAUT@GMAIL.COM~~~~~LAST~UPDATED~15JUL2014~~~~~

```

6.2 NNSearch() Parameters

N N points to the root node of a KD tree.

X **X** points to X , the coordinates of the location for the search. The coordinates that are pointed to by **X** must consist of **K** values that correspond to the **K** independent variables that are associated with the KD tree specified by **N**.

- r** **r** points to A_i , a row that specifies the coordinates of a location for which the distance to the location specified by **X** is minimized. **r** is calculated by the NNSearch() function.
- S** **S** specifies S , the squared distance between the locations specified by **X** and **r**. Although **S** is calculated by the NNSearch() function, **S** should be initialized to some value that is larger than the expected final value of **S** (typically some very large value).
- K** **K** specifies K , the number of independent dimensions that are included in the KD tree.

6.3 NNSearch() Example — Creating a Simple Voronoi Diagram

The following example code uses functions from the yBmp namespace, along with the NNSearch() function to create the Voronoi diagram that is presented in Fig. 3. The black dots in Fig. 3 show the locations of the points that were used to create the Voronoi diagram. The colored sections represent sets of points that have a common nearest neighbor among the points that make up the KD tree (i.e., the black dots). For this particular Voronoi diagram, the colors themselves represent the row number of the table that was used to create the KD tree (see Fig. 4).

```
#include <cmath>//.....fabs()
#include "y_bmp.h"//.....yBmp,<cstring>{memcpy()}
#include "y_kd_tree.h"//.....yKDTree
inline void Rainbow{//<=====RAINBOW COLOR MAP
    unsigned char C[3],{//<-----OUTPUT COLOR (CALCULATED)
        double x,{//<-----VALUE FOR WHICH A COLOR WILL BE CALCULATED
            double min,double max){{//<-----MINIMUM AND MAXIMUM SCALED VALUES
                if(x<min){C[0]=C[1]=C[2]=0; /*&*/return;} //.....set too small values to black
                if(x>max){C[0]=C[1]=C[2]=255; /*&*/return;} //.....set too large values to white
                x=(1-(x-min)/(max-min))*8; //.....remap x to a range of 8 to 0
                C[0]=int((3<x&&x<5 | x>7 ? -fabs(x/2-3)+1.5:5<=x&&x<=7?1:0)*255); //.....blue
                C[1]=int((1<x&&x<3 | 5<x&&x<7? -fabs(x/2-2)+1.5:3<=x&&x<=5?1:0)*255); //.....green
                C[2]=int((x<1 | 3<x&&x<5? -fabs(x/2-1)+1.5:1<=x&&x<=3?1:0)*255); //.....red
            }
        }
    } //~~~~~YAGENAUT@GMAIL.COM~~~~~LAST~UPDATED~15JUL2014~~~~~
int main(){//<=====CREATE A VORONOI DIAGRAM USING NNSearch()
    double*A[5],B[5][3]={4,1,0 , 4,3,1 , 6,2,2 , 2,4,3 , 8,4,4};
    for(int i=0;i<5;++i)A[i]=B[i];
    yKDTree::NODE<double>*N=yKDTree::NewTree(A,A+5,2);
    int n=1000; //.....image size will be 2n x n pixels
    unsigned char*I=yBmp::NewImage(2*n,n,255),BLACK[3]={0};
    for(int p=0;p<2*n;++p)for(int q=0;q<n;++q){
        double X[2]={p*10./(2*n-1),q*5./(n-1)};
        double S,*r; /*<*/yKDTree::NNSearch(N,X,r,S=1E9,2);
        if(S<.002)memcpy(yBmp::GetPixel(I,p,q),BLACK,3);
        else Rainbow(yBmp::GetPixel(I,p,q),r[2],-1.5,5.5);}
    yBmp::WriteBmpFile("voronoi.bmp",I);
    yKDTree::DeleteTree(N,delete[]I;
} //~~~~~YAGENAUT@GMAIL.COM~~~~~LAST~UPDATED~15JUL2014~~~~~
```

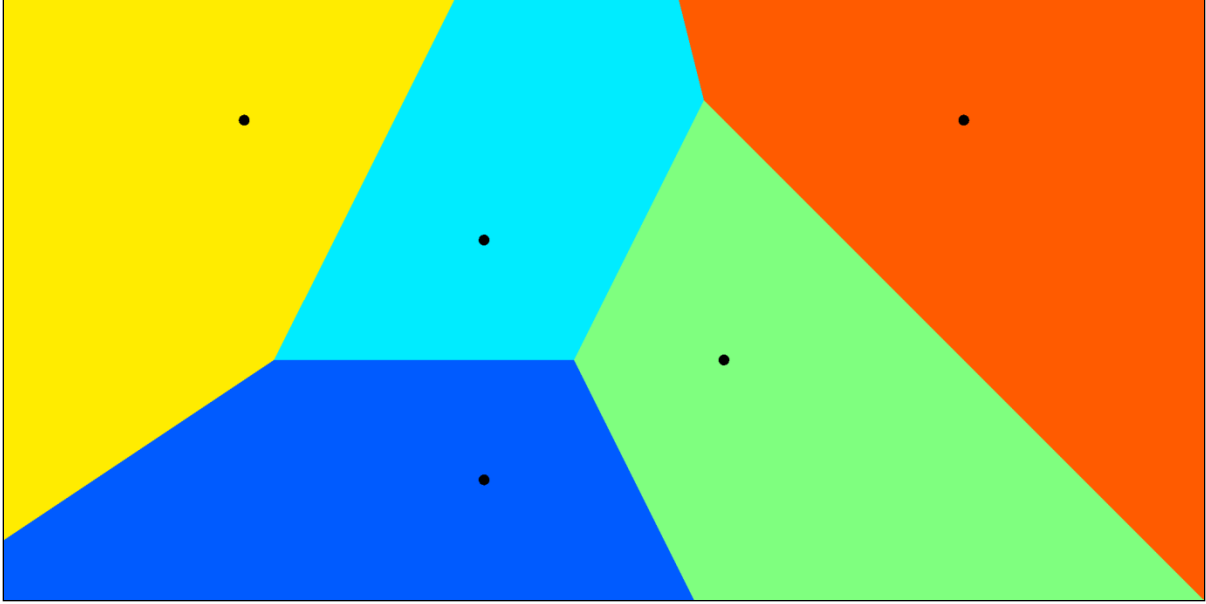


Fig. 3 A simple Voronoi diagram

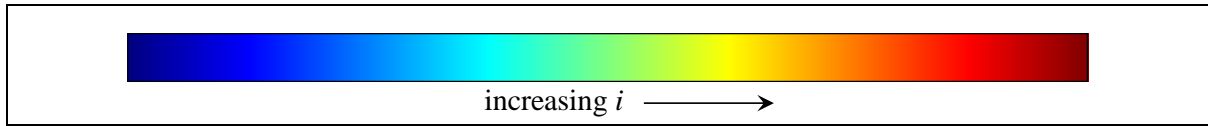


Fig. 4 Scale for Voronoi diagrams

6.4 NNSearch() Performance

The following code measures the performance of the `NNSearch()` function and compares it with the performance of the `NNSearchExhaustive()` function, which is defined in the example. The `NNSearchExhaustive()` function takes a brute-force approach to determining A_i and S .

The `yRandom` namespace is used to generate pseudorandom numbers for the test. Time measurements represent the total time required to perform 10^7 searches on tables with 2^n rows, where n varies from 1 to 14.

When $K = 2$, the test shows that for tables with very few rows (somewhere around 32 or fewer) the brute-force method outperforms the KD-tree method. Figure 5 shows that as the value of K increases, the minimum number of rows required for the KD-tree method to be advantageous increases as well.

```

#include <stdio>//.....printf()
#include <time>//.....clock(),CLOCKS_PER_SEC
#include "y_kd_tree.h"//.....yKdTree
#include "y_random.h"//.....yRandom
template<class T>T NNSearchExhaustive(//<====EXHAUSTIVE NEAREST-NEIGHBOR SEARCH
    T**a,T**b,//<-----POINTERS TO STARTING AND ENDING ROWS
    T*X,//<-----POINTER TO SEARCH COORDINATES
    T*&r,//<-----POINTER TO NEAREST-NEIGHBOR ROW (CALCULATED)
    int K){//<-----NUMBER OF INDEPENDENT DIMENSIONS
    double S=0;/*<*/for(int i=0;i<K;++i)S+=(a[0][i]-X[i])*(a[0][i]-X[i]);
    for(r=*a;a<b;++a){
        double s=0;/*<*/for(int i=0;i<K;++i)s+=((*a)[i]-X[i])*((*a)[i]-X[i]);
        if(s<S)r=*a,S=s;}
    return S;
}//~~~~~YAGENAUT@GMAIL.COM~~~~~LAST~UPDATED~15JUL2014~~~~~
int main(){//<=====MEASURE THE PERFORMANCE OF THE NNSearch() FUNCTION
    const int N=1<<14,M=1000000,K=2;
    unsigned I[625];/*<*/yRandom::Initialize(I,1);//....state of Mersenne twister
    double*A[N],B[N][K];/*<*/for(int i=0;i<N;++i)A[i]=B[i];
    for(int i=0;i<N;++i)for(int k=0;k<K;++k)A[i][k]=yRandom::RandU(I,0,1);
    printf("
        | NNSearchExhaustive() | NN2DInterp() \n"
    " row | ----- | ----- \n"
    " count | time | x | time | x \n"
    " | (s) | avg. | (s) | avg. \n"
    " ----- | ----- | ----- | ----- \n");//..table header
    for(int m=2;m<=N;m*=2){
        double s=0,t=clock();/*&*/yRandom::Initialize(I,1);//...NNSearchExhaustive()
        for(int k=0;k<M;++k){
            double X[K];/*<*/for(int i=0;i<K;++i)X[i]=yRandom::RandU(I,0,1);
            double*r;/*<*/NNSearchExhaustive(A,A+m,X,r,K);
            s+=*r;}
        printf("%7d |%8.3f |%9.6f |",m,(clock()-t)/CLOCKS_PER_SEC,s/M);
        s=0,t=clock(),yRandom::Initialize(I,1);//.....begin NNSearch() test
        yKdTree::NODE<double>*R=yKdTree::NewTree(A,A+m,K);
        for(int k=0;k<M;++k){
            double X[K];/*<*/for(int i=0;i<K;++i)X[i]=yRandom::RandU(I,0,1);
            double S=1E9;
            double*r;/*<*/yKdTree::NNSearch(R,X,r,S,K);
            s+=*r;}
        yKdTree::DeleteTree(R);
        printf("%8.3f |%9.6f \n", (clock()-t)/CLOCKS_PER_SEC,s/M);}
}//~~~~~YAGENAUT@GMAIL.COM~~~~~LAST~UPDATED~15JUL2014~~~~~

```

OUTPUT:

| row count | NNSearchExhaustive() | | NN2DInterp() | |
|--------------|----------------------|-----------|--------------|-----------|
| | time (s) | x avg. | time (s) | x avg. |
| 2 | 0.320 | 0.577847 | 0.390 | 0.577847 |
| 4 | 0.380 | 0.372263 | 0.646 | 0.372263 |
| 8 | 0.552 | 0.354816 | 0.801 | 0.354816 |
| 16 | 0.710 | 0.481116 | 0.920 | 0.481116 |
| 32 | 1.050 | 0.491685 | 1.200 | 0.491685 |
| 64 | 1.760 | 0.499086 | 1.506 | 0.499086 |
| 128 | 3.104 | 0.499204 | 1.760 | 0.499204 |
| 256 | 5.630 | 0.498995 | 2.060 | 0.498995 |
| 512 | 11.131 | 0.499451 | 2.360 | 0.499451 |
| 1024 | 21.392 | 0.499869 | 2.610 | 0.499869 |
| 2048 | 43.283 | 0.499950 | 2.980 | 0.499950 |
| 4096 | 88.706 | 0.499952 | 3.091 | 0.499952 |
| 8192 | 177.382 | 0.499965 | 3.450 | 0.499965 |
| 16384 | 382.268 | 0.499971 | 3.880 | 0.499971 |

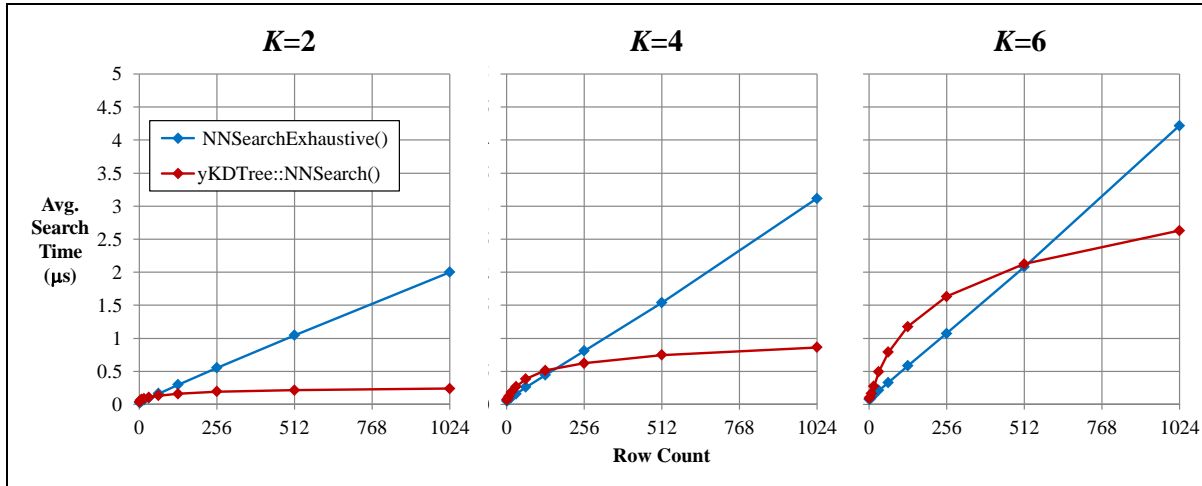


Fig. 5 Brute-force and KD-tree methods compared for $K = 2, 4$, and 6

7. Example — Fermat's Spiral

According to Vogel⁴ Eqs. 3 and 4, which define a set of points in polar coordinates that all lie on Fermat's spiral, can be used to model the patterns of seeds in sunflowers.

$$r_i = R \sqrt{\frac{i}{m-1}}, \quad (3)$$

$$\theta_i = (3 - \sqrt{5})i\pi, \quad (4)$$

where R is the radius of a circle that contains all of the points, m is the total number of points, and $0 \leq i < m$.

The following code uses functions from the yBmp namespace, as well as the Rainbow() function from section 6.3, to create three Voronoi diagrams (Fig. 6) that are based on Eqs. 3 and 4 (one with $m=100$, one with $m=200$, and one with $m=600$). For each, R has been chosen to be 1/2 the width of the image.

```
#include <cmath> //.....sqrt()
#include "y_bmp.h" //.....yBmp,<cstring>{memcpy()}
#include "y_kd_tree.h" //.....yKDTree
int main(){ //=====CREATE VORONOI DIAGRAMS BASED ON FERMAT'S SPIRAL
    for(int m=100,j=0,n=1000;j<3;++j,m*=j+1){ //....image size will be n x n pixels
        double**A=new double*[m]; /*<-*/for(int i=0;i<m;++i)A[i]=new double[3];
        for(int i=0;i<m;++i){
            double R=.5*sqrt(i/(m-1.)),theta=(3-sqrt(5.))*i*3.141592653589793;
            double x=R*cos(theta),y=R*sin(theta);
            A[i][0]=x+.5,A[i][1]=y+.5,A[i][2]=i;}
        yKDTree::NODE<double>*N=yKDTree::NewTree(A,A+m,2);
        unsigned char*B=yBmp::NewImage(n,n,255),BLACK[3]={0};
        for(int p=0;p<n;++p)for(int q=0;q<n;++q){
            double X[2]={p/(n-1.),q/(n-1.)};
            double S,*r; /*<-*/yKDTree::NNSearch(N,X,r,S=1E9,2);
            if(S<.00002)memcpy(yBmp::GetPixel(B,p,q),BLACK,3);
            else Rainbow(yBmp::GetPixel(B,p,q),r[2],0,m);}
        yBmp::WriteBmpFile(j==0?"spiral1.bmp":j==1?"spiral2.bmp":"spiral3.bmp",B);
        for(int i=0;i<m;++i)delete[]A[i]; /*&*/yKDTree::DeleteTree(N),delete[]B;}
    } //~~~~~YAGENAUT@GMAIL.COM~~~~~LAST~UPDATED~15JUL2014~~~~~
```

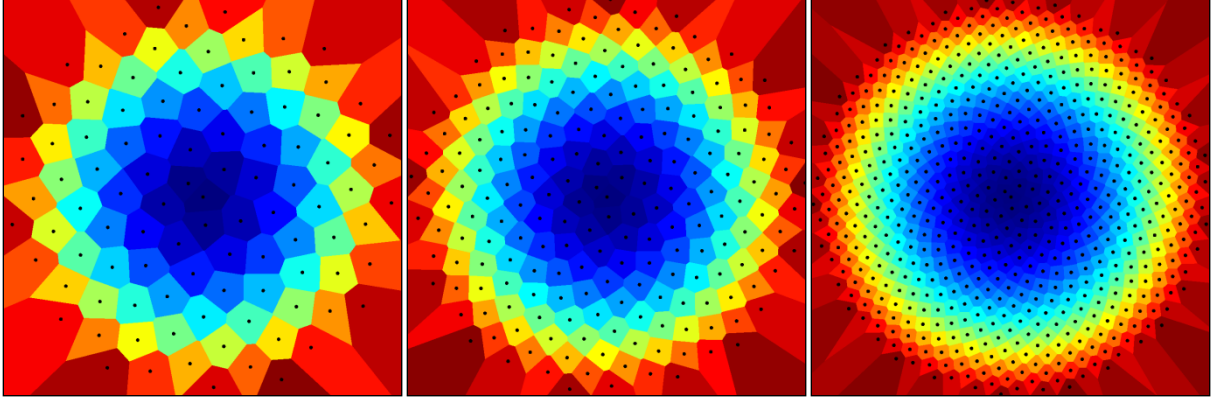


Fig. 6 Voronoi diagrams of Fermat's Spiral ($m = 100$ left, $m = 200$ center, $m = 600$ right)

8. Example — Comparing Average Distances to Sources

Suppose that the effectiveness of some physical phenomenon of interest is reduced as the distance from a source increases (such as signal strength from radio antennas). If $D(x, y)$ is defined to be the distance to the nearest source, then Eq. 5 can be used to calculate the average distance to the nearest source (\bar{D}) for some area of interest:

$$\bar{D} \equiv \frac{\iint D(x, y) dx dy}{A}, \quad (5)$$

where A is the total area over which $D(x, y)$ is integrated. By comparing \bar{D} values for different sets of points, the relative coverage effectiveness between sets can be evaluated.

The following example code uses functions from the yBmp namespace, as well as the Rainbow() function from section 6.3, to create 3 Voronoi diagrams (Fig. 7). The first 2 are based on tables containing randomly selected points, while the third is based on a set of points that was purposely chosen to result in a small value for \bar{D} .

```

#include "y_kd_tree.h"//.....yKdTree
#include "y_bmp.h"//.....yBmp,<cstring>{memcpy()}
#include "y_random.h"//.....yRandom
int main(){//<=====COMPARE AREAL COVERAGES
const int m=9,n=1000;//.....image size will be n x n pixels
double*A[m];/*<*/for(int i=0;i<m;++i)A[i]=new double[3];
double d=1./6;
double O[m][2]={3*d,3*d,5*d,3*d,5*d,5*d,3*d,5*d,d,5*d,d,3*d,d,d,3*d,d,5*d,d};
unsigned I[625];/*<*/yRandom::Initialize(I,1);//....state of Mersenne twister
unsigned char*B=yBmp::NewImage(n,n,255),BLACK[3]={0};
for(int J=0;J<3;++J){
for(int i=0;i<m;++i)
A[i][0]=J==2?O[i][0]:yRandom::RandU(I,0,1),
A[i][1]=J==2?O[i][1]:yRandom::RandU(I,0,1),
A[i][2]=i;
yKdTree::NODE<double>*N=yKdTree::NewTree(A,A+m,2);
double s=0;
for(int p=0;p<n;++p)for(int q=0;q<n;++q){
double X[2]={p*1./(n-1),q*1./(n-1)};
double S,*r;/*<*/yKdTree::NNSearch(N,X,r,S=1E9,2);
s+=sqrt(S);
if(S<.00002)memcpy(yBmp::GetPixel(B,p,q),BLACK,3);
else Rainbow(yBmp::GetPixel(B,p,q),r[2],-1,m);}
yBmp::WriteBmpFile(!J?"coverage1.bmp":J==1?"coverage2.bmp":
"coverage3.bmp",B);
printf("CASE %d: D_bar=%8.5f\n",J+1,s/n/n);
yKdTree::DeleteTree(N);}
for(int i=0;i<m;++i)delete[]A[i];/*&*/delete[]B;
}//~~~~~YAGENAUT@GMAIL.COM~~~~~LAST~UPDATED~15JUL2014~~~~~

```

OUTPUT:

```

CASE 1: D_bar= 0.27243
CASE 2: D_bar= 0.17623
CASE 3: D_bar= 0.12766

```

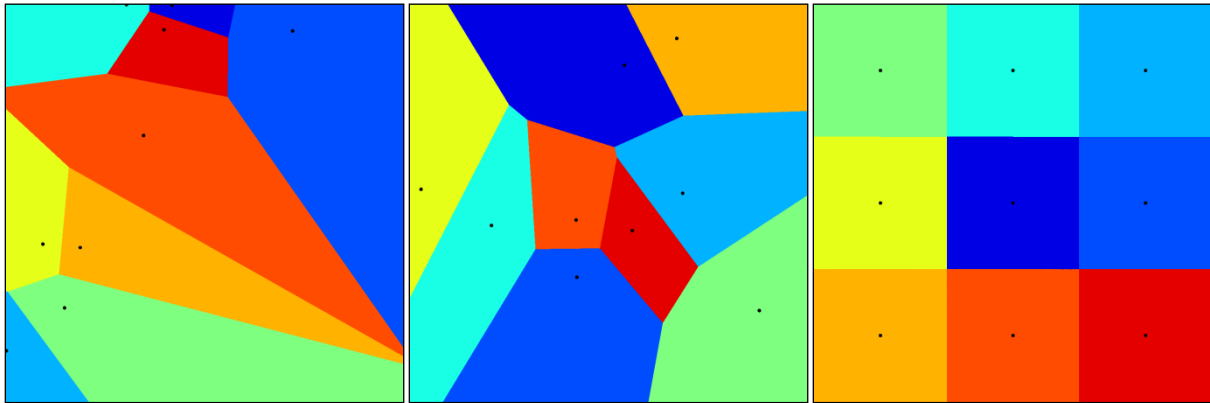


Fig. 7 Voronoi diagrams (case 1 left, case 2 center, case 3 right)

9. Example — Optimizing Areal Coverage

The following example code begins by recreating the “CASE 1” KD tree from the example presented in section 8. Next, a random-walk method is used to determine the optimal placement of an additional point. Figure 8 shows the original Voronoi diagram compared with the Voronoi diagram with the additional point.

The purpose of this example is to show a type of problem that might benefit from the use of KD trees. For this particular case, since the number of points in the table being searched is so small, it would likely have been slightly faster to use a brute-force method.

```
#include "y_kd_tree.h"//.....yKDTree
#include "y_bmp.h"//.....yBmp,<cstring>{memcpy()}
#include "y_random.h"//.....yRandom
int main(){//<=====OPTIMIZE AREAL COVERAGE
    double*T[10],*A[10];/*<-*/for(int i=0;i<10;++i)A[i]=new double[3];
    unsigned I[625];/*<-*/yRandom::Initialize(I,1);//...state of Mersenne twister
    int n=1000;//.....image size will be n x n pixels
    unsigned char*B=yBmp::NewImage(n,n,255),BLACK[3]={0};
    for(int i=0;i<10;++i)
        A[i][0]=yRandom::RandU(I,0,1),A[i][1]=yRandom::RandU(I,0,1),A[i][2]=i;
    double s=1E99,x=0,y=0,st,xt,yt;
    for(int J=0;J<100;++J){
        for(int i=0;i<10;++i)T[i]=A[i];
        st=0,xt=*T[9]=x+yRandom::RandN(I,0,.1),yt=T[9][1]=y+yRandom::RandN(I,0,.1);
        if(xt<0||xt>1||yt<0||yt>1)continue;
        yKDTree::NODE<double>*N=yKDTree::NewTree(T,T+10,2);
        for(int p=0;p<n;++p)for(int q=0;q<n;++q){
            double X[2]={p*1./(n-1),q*1./(n-1)},D,*r;
            yKDTree::NNSearch(N,X,r,D=1E9,2),st+=sqrt(D);}
        if(st<s)printf("J=%2d , D_bar=%f , x=%9.6f , y=%9.6f\n",J,
            (s=st)/n/n,x=xt,y=yt);
        yKDTree::DeleteTree(N);}
    A[9][0]=x,A[9][1]=y;
    yKDTree::NODE<double>*N=yKDTree::NewTree(A,A+10,2);
    for(int p=0;p<n;++p)for(int q=0;q<n;++q){
        double X[2]={p*1./(n-1),q*1./(n-1)};
        double D,*r;/*<-*/yKDTree::NNSearch(N,X,r,D=1E9,2);
        if(D<.00002)memcpy(yBmp::GetPixel(B,p,q),BLACK,3);
        else Rainbow(yBmp::GetPixel(B,p,q),r[2],-1,9);}
    yBmp::WriteBmpFile("optimized_coverage.bmp",B);
    yKDTree::DeleteTree(N),delete[]B;
    for(int i=0;i<10;++i)delete[]A[i];
} //~~~~~YAGENAUT@GMAIL.COM~~~~~LAST~UPDATED~15JUL2014~~~~~
```


OUTPUT:

```
J= 4 , D_bar=0.262436 , x= 0.183841 , y= 0.031927
J= 7 , D_bar=0.256637 , x= 0.217818 , y= 0.054442
J= 8 , D_bar=0.241756 , x= 0.324290 , y= 0.017688
J=12 , D_bar=0.239188 , x= 0.303139 , y= 0.112939
J=13 , D_bar=0.238673 , x= 0.321162 , y= 0.061664
J=14 , D_bar=0.209072 , x= 0.597562 , y= 0.007720
J=18 , D_bar=0.189872 , x= 0.633593 , y= 0.136296
J=20 , D_bar=0.187058 , x= 0.876571 , y= 0.212578
J=23 , D_bar=0.183453 , x= 0.797085 , y= 0.401234
J=26 , D_bar=0.179227 , x= 0.711760 , y= 0.272756
J=28 , D_bar=0.179046 , x= 0.769481 , y= 0.303093
J=62 , D_bar=0.178932 , x= 0.752994 , y= 0.268364
```

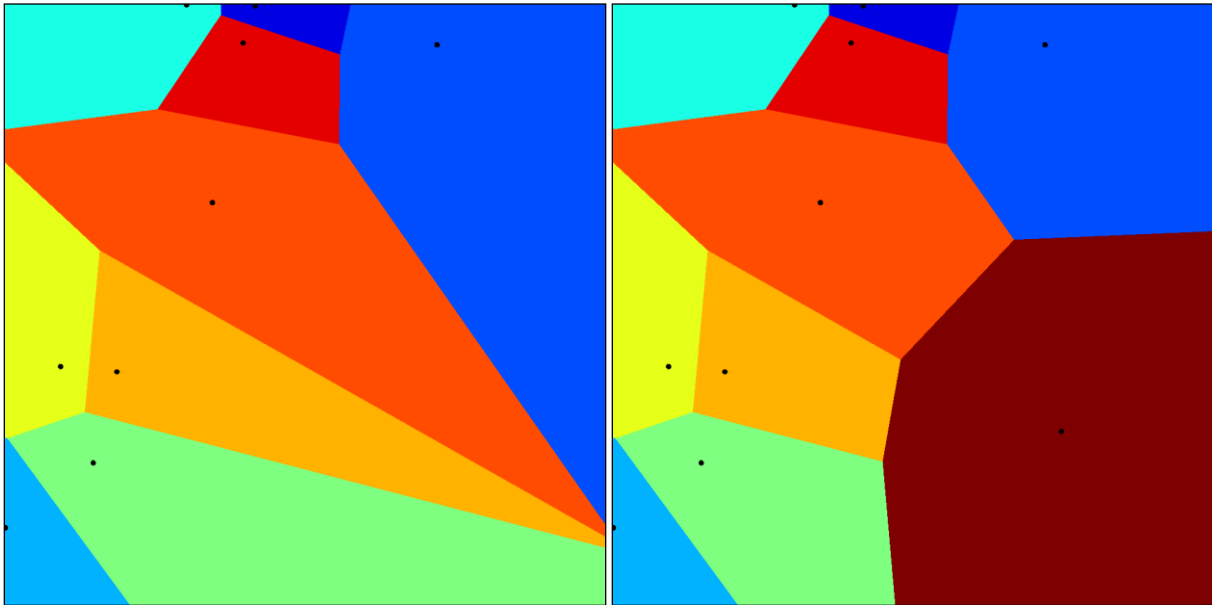


Fig. 8 Voronoi diagrams of a table without and with a point added that minimizes \bar{D}

10. Code Summary

A summary sheet is provided at the end of this report. It presents the yKDTree namespace, which contains the ColumnSort(), NewTree(), DeleteTree(), and NNSearch() functions and the NODE struct.

yKDTree Summary

y_kd_tree.h

```
#ifndef Y_KD_TREE_GUARD// See Yager, R.J. "Working with KD-Trees Using C++ (ARL-TN-XXX)
#define Y_KD_TREE_GUARD//
namespace ykdTree{//=====
template<class T>void ColumnSort(//<<SORT A TABLE BY COLUMNS (ASCENDING ORDER)
T**a, T**b, //-----POINTERS TO STARTING & ENDING ROWS (FIRST INDEX)
T**t, //-----TEMPORARY STORAGE (SIZE >= b->num)
unsigned c) //-----THE COLUMN (2ND INDEX) TO SORT ON
{
if (b-<a) return;
T**m=a+(b-a)/2; /*-*/ ColumnSort(a,m,t,c), ColumnSort(m,b,t,c);
for (T**u=m, **i=a; **j=t; c<b-a; ) *j+++=u&& (1==m[1][1][c]? *u)[c]? *u++: *i++;
while (a(c)b)*a++**t++;
} //===== LAST-UPDATED-15JUL2014
template<class T> struct NODE { //===== A KD-TREE NODE
T**r; //-----POINTER TO A ROW IN A TABLE
NODE**a, **b; //-----POINTERS TO SUBNODES
int k; //-----NODE LAYER
}; //===== LAST-UPDATED-15JUL2014
template<class T> NODE*>* NewTree( //=====CREATE A KD TREE
T**a, T**b, //-----POINTERS TO STARTING AND ENDING ROWS
int k, //-----NUMBER OF INDEPENDENT DIMENSIONS
int k=0) //-----NODE LAYER (SET BY RECURSIVE ALGORITHM)
{
T**t=new T*[b-a]; /*-*/ ColumnSort(a,b,t,k); /*&*delete[]t;
T**m=a+(b-a-1)/2;
NODE<T>*n=new NODE<T>; /*-*/ N->r=m; N->a=m?NewTree(a,m,k, (k+1)%K):0;
N->b=m-1?NewTree(m+1,b,k, (k+1)%K):0; N->k=k;
return N;
} //===== LAST-UPDATED-15JUL2014
template<class T> void DeleteTree( //=====DELETE A KD TREE
NODE<T>*N) //-----THE ROOT NODE OF A KD TREE
{
if (!N) return; /*else*/ DeleteTree(N->a), DeleteTree(N->b), delete N;
} //===== LAST-UPDATED-15JUL2014
template<class T, class U> void NNSearch( //=====NEAREST-NEIGHBOR SEARCH
const NODE<T>*N, //-----THE ROOT NODE OF A KD TREE
const T**X, //-----POINTER TO SEARCH COORDINATES
T**r, //-----POINTER TO NEAREST-NEIGHBOR ROW (CALCULATED)
U&, //-----SQUARED DISTANCE BETWEEN COORDINATES AT X AND r (CALCULATED)
int K) //-----NUMBER OF INDEPENDENT DIMENSIONS
{
NODE<T>*a, **b; /*-*/ X[N->k]<N->r[N->k]? a=N->a, b=N->b: (a=N->b, b=N->a);
if (a) NNSearch(a, X, r, S, K);
U s=0; /*-*/ for (int k=0; k<K; ++k) s+=X[k]-N->r[k]*X[k]-N->r[k];
if (s<S) N=N->r; S=s;
if ((s=X[N->k]-N->r[N->k])*s<S&&b) NNSearch(b, X, r, S, K);
} //===== LAST-UPDATED-15JUL2014
} //=====
#endif
```

ColumnSort() Performance

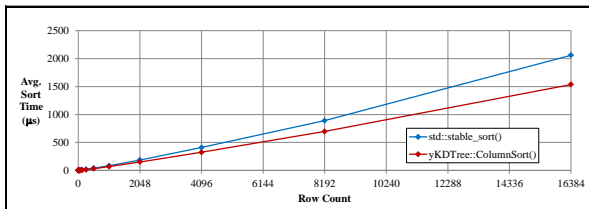
```

#include <algorithm> //..... stable_sort()
#include <cstdlib> //..... srand(), printf()
#include <ctime> //..... clock(),CLOCKES_PER_SEC
#include "y_kd_tree.h" //..... yKdTree
#include "y_random.h" //..... yRandom
inline bool Compare(const std::stable_sort() FUNCTION
double*a,double*b) { //----- POINTERS TO COMPARISON ROWS
return a[0]<b[0];} //----- note column number is fixed at 0
} //----- YAGENAU@GMAIL.COM ----- LAST-UPDATED=15JUL2014 -----

int main() { //----- MEASURE THE PERFORMANCE OF THE ColumnSort() FUNCTION
const int N=1<14,M=1000000; //..... max # of rows, number of iterations per test
unsigned I[625]; /*- yRandom::Initialize(I,1); //----- state of Mersenne twister
double s,t,*T[N],*A[N]; /*- for(int i=0;i<N; ++i) A[i]=new double[1];
printf("
| std::stable_sort() | ColumnSort()\n"
" row
count |
time (s) | Z[m/2][0] | time (s) | Z[m/2][0]\n"
"-----|-----|-----|-----|-----"
" avg. | avg.\n"
"-----|-----|-----|-----|-----"
" )\n"); //table header

for(int m=2;m<=N;m*=2){
s=0,t=clock(),yRandom::Initialize(I,1); //----- begin stable_sort() test
for(int k=0;k<M; ++k){
for(int i=0;i<M; ++i) A[i][0]=yRandom::Randu(I,0,1);
std::stable_sort(A,A+m,Compare),s+=A[m/2][0];
printf("%7d %9.3f %10.7f\n",m,(clock()-t)/CLOCKES_PER_SEC,s/M);
s=0,t=clock(),yRandom::Initialize(I,1); //----- begin ColumnSort() test
for(int k=0;k<M; ++k){
for(int i=0;i<M; ++i) A[i][0]=yRandom::Randu(I,0,1);
yKdTree::ColumnSort(A,A+m,T,0),s+=A[m/2][0];
printf("%9.3f %10.7f\n",m,(clock()-t)/CLOCKES_PER_SEC,s/M);
}
for(int i=0;i<N; ++i) delete A[i];
}
} //----- YAGENAU@GMAIL.COM ----- LAST-UPDATED=15JUL2014 -----

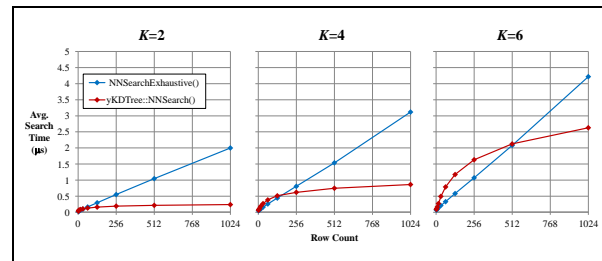
```



NNSearch() Performance

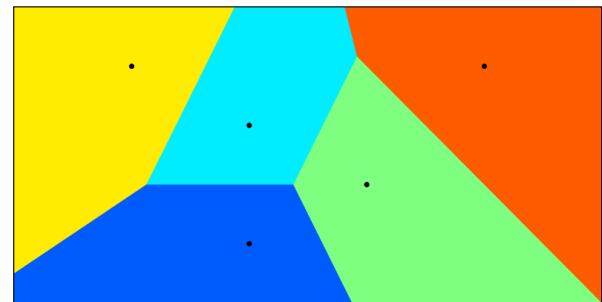
```
#include <stdio>// ..... printf()
#include <ctime>// ..... clock(), CLOCKS_PER_SEC
#include "y_kd_tree.h"// ..... ykDTree
#include "y_random.h"// ..... yRandom
template<class T> NNSearchExhaustive//<=====EXHAUSTIVE NEAREST-NEIGHBOR SEARCH
```

```
T**a,T**,//<-----POINTERS TO STARTING AND ENDING ROWS
T*X,/,//<-----POINTER TO SEARCH COORDINATES
T**r,/,//<-----POINTER TO NEAREST-NEIGHBOR ROW (CALCULATED)
int K(),//<-----NUMBER OF INDEPENDENT DIMENSIONS
double S0; /*<-*/for(int i=0;i<K;+i)S+=a[0][i]-X[i])*a[0][i]-X[i];
for(r=a;a;acb;a++){
    double s0; /*<-*/for(int i=0;i<K;+i)s+=((a)[i]-X[i])*((a)[i]-X[i]);
    if(s<S)r=a,S=s;}
return S;
}~~~~~YAGENAUT@GMAIL.COM~~~~~LAST-UPDATED-15JUL2014~~~~~
int main(){/(<=====MEASURE THE PERFORMANCE OF THE NNSearch() FUNCTION
const int N=1<14,M=10000000,K=2;
unsigned I(625);/*<-*/yRandom::Initialize(I,1);/////state of Mersenne twister
double A[N],B[N]();/*<-*/for(int i=0;i<N;+i)A[i]=B[i];
for(int i=0;i<N;+i){for(int k=0;k<K;+k)A[i][k]=yRandom::RandU(I,0,1);
printf("NNSearchExhaustive()NN2Interp()\n"
" row | time | x | time | x\n"
" count | (s) | avg. | (s) | avg.\n"
" ~~~~~~\n");///table header
for(int m=2;m<N;M*=2){
    double S=t=clock();/*&*yRandom::Initialize(I,1);/////NNSearchExhaustive()
    for(int k=0;k<M;+k){
        double X[K];/*&*for(int i=0;i<K;+i)X[i]=yRandom::RandU(I,0,1);
        double r; /*<-*/yRandom::Initialize(A,A+m,X,r,K);
        S+=r;}
    printf("%7d %8.3f %9.6f\n",m,(clock()-t)/CLOCKS_PER_SEC,s/M);
    S=t,clock(),yRandom::Initialize(I,1);////////.....begin NNSearch() test
    yKDTree::NODE<double>*yKDTree::NewTree(A,A+m,K);
    for(int k=0;k<M;+k){
        double X[K];/*<-*/for(int i=0;i<K;+i)X[i]=yRandom::RandU(I,0,1);
        double S=1E9;
        double*r; /*<-*/yKDTree::NNSearch(R,X,r,S,K);
        S+=r;}
    yKDTree::DeleteTree(R);
    printf("%8.3f %9.6f\n",(clock()-t)/CLOCKS_PER_SEC,s/M);}
}~~~~~YAGENAUT@GMAIL.COM~~~~~LAST-UPDATED-15JUL2014~~~~~
```



Example – Creating a Simple Voronoi Diagram

```
#include <cmath>.....fabs()
#include "y_bmp.h".....yBmp,cstring,memory()
#include "y_kd_tree.h".....yKdTree
inline void Rainbow(c)=====RAINBOW COLOR MAP
unsigned char C[3],<-----OUTPUT COLOR (CALCULATED)
double x,y,/<-----VALUE FOR WHICH A COLOR WILL BE CALCULATED
double min,double max,/<-----MINIMUM AND MAXIMUM SCALED VALUES
if(x<min){C[0]-1=C[2]=0;}&*&return;)/.....set too small values to black
if(x>max){C[0]-1=C[2]=255;}&*&return;)/.....set too large values to white
x=(1-(x-min)/(max-min))*8;)/.....remap x to a range of 8 to 0
C[0]=int(((x&8)<5)<10)?-fabs(x/2-1)+1.5:<=<x&8<=771:0)*255;)/.....blue
C[1]=int(((x&8)<3)<5)<10)?-fabs(x/2-1)+1.5:<=<x&8<=571:0)*255;)/.....green
C[2]=int(((x<1)<3)<10)?-fabs(x/2-1)+1.5:<=<x&8<=371:0)*255;)/.....red
)/.....YAGENA@GMAIL.COM.....LAST-UPDATED-15JUL2014-
int main(c){/<-----CREATE A VORONOI DIAGRAM USING NNSearch()
double*A[5],B[5][3]={4,1,0,4,3,1,6,2,2,2,4,3,8,4,4};
for(int i=0;<5;+i)A[i]=B[i];
yKdTree::NODE<double*>N=yKdTree::NewTree(A,A+5,2);
int n=1000;)/.....image size will be 2n x n pixels
unsigned char*TyBmp::NewImage(2*n,n,255),BLACK[3]={0};
for(int p=0;<2*n;+p)for(int q=0;q<n;+q){
double X[2]={p*10./(<2*n-1),q*5./(<n-1)};
double S,*p;*&*&yKdTree::NNSearch(X,X+3,S,I=9,2);
if(S<.002)TyBmp::GetPixel(I,p,q,BLACK,3);
else Rainbow(yBmp::GetPixel(I,p,q),r[2],-1.5,5.5);)
yBmp::WriteBmpFile("voronoi.bmp",1);
yKdTree::DeleteTree(N,delete[]);
)/.....YAGENA@GMAIL.COM.....LAST-UPDATED-15JUL2014-
```



11. References

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